| Ref<br># | Hits | Search Query   | DBs                | Default<br>Operator | Plurals | Time Stamp       |
|----------|------|--|--------------------|---------------------|---------|------------------|
| S1       | 29   | (derivative\$ or option\$ or future\$)<br>near2 trad\$3 same (graphical adj<br>user adj interface)   | US-PGPUB;<br>USPAT | OR .                | ON      | 2007/10/03 15:20 |
| S2       | 45   | (derivative\$ or option\$ or future\$)<br>near2 trad\$3 same (graphical adj<br>user adj interface or gui)  | US-PGPUB;<br>USPAT | OR                  | ON      | 2007/10/03 15:29 |
| S3       | 11   | (derivative\$ or option\$ or future\$)<br>near2 trad\$3 same (graphical adj<br>user adj interface or gui) and<br>profile\$   | US-PGPUB;<br>USPAT | OR                  | ON      | 2007/10/03 15:20 |
| S4       | 2    | (derivative\$ or option\$ or future\$) near2 trad\$3 same (graphical adj user adj interface or gui) and (profile\$ same data adj entry adj field\$)  | US-PGPUB;<br>USPAT | OR                  | ON      | 2007/10/03 15:20 |
| S5       | 4    | (derivative\$ or option\$ or future\$)<br>near2 trad\$3 same (graphical adj<br>user adj interface or gui) and data<br>adj entry adj field\$  | US-PGPUB;<br>USPAT | OR                  | ON      | 2007/10/03 15:22 |
| S6       | 1    | (derivative\$ or option\$ or future\$) near2 trad\$3 same (graphical adj user adj interface or gui) and ((revers\$3 or cancel\$4 or negat\$3 or recall\$3 or revok\$3 or undo\$3 or retract\$3) with (clearance or cleared) with (trade\$ or transaction\$)) | US-PGPUB;<br>USPAT | OR                  | ON      | 2007/10/03 15:29 |
| S7       | 3    | (derivative\$ or option\$ or future\$) near2 trad\$3 same (graphical adj user adj interface or gui) and ((revers\$3 or cancel\$4 or negat\$3 or recall\$3 or revok\$3 or undo\$3 or retract\$3) same (clearance or cleared) with (trade\$ or transaction\$)) | US-PGPUB;<br>USPAT | OR                  | ON      | 2007/10/03 15:29 |
| S8 .     | 7    | (derivative\$ or option\$ or future\$) near2 trad\$3 same (graphical adj user adj interface or gui) same (generate or produce or create or develop)  | US-PGPUB;<br>USPAT | OR                  | ON      | 2007/10/03 15:31 |
| S9       | 111  | derivative\$ near2 trad\$3 same<br>(clear\$4 or accept\$4 or complet\$3)   | US-PGPUB;<br>USPAT | OR ·                | ON      | 2007/10/03 15:52 |
| S10      | 13   | derivative\$ near2 trad\$3 same<br>(clear\$4 or accept\$4 or complet\$3)<br>and stor\$3 and retrieve and display<br>and (negate or reverse or cancel or<br>recall or revoke or undo or retract)  | US-PGPUB;<br>USPAT | OR<br>·             | ON      | 2007/10/03 15:56 |

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|-----|-------|---|--------------------|----|------|------------------|
| S11 | 11    | derivative\$ near2 trad\$3 same (clear\$4 or accept\$4 or complet\$3) and stor\$3 and retrieve and display and (negate or reverse or cancel or recall or revoke or undo or retract) and (offset or counter\$6 or balanc\$3) | US-PGPUB;<br>USPAT | OR | ON   | 2007/10/03 15:56 |
| S12 | 185   | (reverse or negate or cancel or<br>retract or revoke or undo) near2<br>trade  | US-PGPUB;<br>USPAT | OR | ON   | 2007/10/03 16:10 |
| S13 | 1     | (reverse or negate or cancel or<br>retract or revoke or undo) near2<br>trade same derivative\$  | US-PGPUB;<br>USPAT | OR | ON   | 2007/10/03 16:10 |
| S14 | 25    | (reverse or negate or cancel or<br>retract or revoke or undo) near2<br>trade same (derivative\$ or future\$<br>or option\$)   | US-PGPUB;<br>USPAT | OR | ON . | 2007/10/03 16:12 |
| S15 | 40    | (reverse or negate or cancel or retract or revoke or undo) near2 trade same (derivative\$ or future\$ or option\$ or stock or bond or debt or financial adj instrument)   | US-PGPUB;<br>USPAT | OR | ON   | 2007/10/03 16:41 |
| S16 | 0     | reconcil\$3 same trade\$ same record\$ and login with user and retriev\$3 with record\$ and display with record\$ and select with (first or second or plurality) with record\$ and edit with record                         | US-PGPUB;<br>USPAT | OR | ON   | 2007/10/03 16:47 |
| S17 | 8     | reconcil\$3 same trade\$ and login<br>and retriev\$3 and display and select<br>and edit   | US-PGPUB;<br>USPAT | OR | ON   | 2007/10/03 16:49 |
| S18 | 5     | reconcil\$3 same unmatch\$3 same trade\$  | US-PGPUB;<br>USPAT | OR | ON   | 2007/10/03 16:50 |
| S19 | 12730 | (generate or create) same<br>(graphical adj user adj interface)   | US-PGPUB;<br>USPAT | OR | ON   | 2007/10/03 18:18 |
| S20 | 7139  | (generate) same (graphical adj user adj interface)  | US-PGPUB;<br>USPAT | OR | ON . | 2007/10/03 18:18 |
| S21 | 7177  | (create) same (graphical adj user<br>adj interface)   | US-PGPUB;<br>USPAT | OR | ON   | 2007/10/03 18:18 |
| S22 | 2720  | (create) with (graphical adj user adj interface)  | US-PGPUB;<br>USPAT | OR | ON   | 2007/10/03 18:18 |
| S23 | 567   | (create) near2 (graphical adj user adj interface)   | US-PGPUB;<br>USPAT | OR | ON   | 2007/10/03 18:18 |
| S24 | 194   | (create) adj (graphical adj user adj<br>interface)  | US-PGPUB;<br>USPAT | OR | ON   | 2007/10/03 18:19 |
| S25 | 0     | (create) adj (graphical adj user adj interface).ti.   | US-PGPUB;<br>USPAT | OR | ON   | 2007/10/03 18:19 |

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|---------|------|--|--------------------|------|------|------------------|
| S26     | 37   | (creat\$3) with (graphical adj user adj interface).ti.                   | US-PGPUB;<br>USPAT | OR · | ON   | 2007/10/03 18:19 |
| S27     | 2    | accept\$3 with trade with obligation\$ with assum\$3                     | US-PGPUB;<br>USPAT | OR   | ON   | 2007/10/09 13:06 |
| S28     | 2    | accept\$3 with trade same obligation\$ with assum\$3                     | US-PGPUB;<br>USPAT | OR   | ON   | 2007/10/09 13:06 |
| S29     | . 2  | accept\$3 with trade same obligation\$ same assum\$3                     | US-PGPUB;<br>USPAT | OR   | ON   | 2007/10/09 13:07 |
| S30     | 2    | accept\$3 with trade same obligat\$4 same assum\$3                       | US-PGPUB;<br>USPAT | OR   | ON   | 2007/10/09 13:07 |
| S31     | 22   | accept\$3 with trade same obligat\$4                                     | US-PGPUB;<br>USPAT | OR   | ON   | 2007/10/09 13:07 |
| S32     | 11   | accept\$3 with trade with obligat\$4                                     | US-PGPUB;<br>USPAT | OR   | ON   | 2007/10/09 13:11 |
| S33     | 7    | assum\$3 with trade with obligat\$4                                      | US-PGPUB;<br>USPAT | OR   | ON   | 2007/10/09 13:12 |
| S34     | . 5  | assum\$3 with trade with obligat\$4 not S32                              | US-PGPUB;<br>USPAT | OR   | ON   | 2007/10/09 13:13 |
| S35     | . 14 | clearing with trade with obligat\$4                                      | US-PGPUB;<br>USPAT | OR   | ON   | 2007/10/09 13:52 |
| S36     | 182  | trade with settlement same (debit or credit)                             | US-PGPUB;<br>USPAT | OR   | ON   | 2007/10/09 14:00 |
| S37     | 11   | trade with settlement with (debit or credit) with account                | US-PGPUB;<br>USPAT | OR   | ON · | 2007/10/09 13:54 |
| S38     | 32   | comput\$3 with trade with account with balance                           | US-PGPUB;<br>USPAT | OR   | ON   | 2007/10/09 14:00 |
| S39     | 5    | (compute or computes or computing) with trade with account with balance  | US-PGPUB;<br>USPAT | OR   | ON   | 2007/10/09 13:55 |
| S40     | 0    | comput\$3 with trade with account with balance same (gains with losses)  | US-PGPUB;<br>USPAT | OR   | ON   | 2007/10/09 14:00 |
| S41     | 182  | trade with settlement same (debit or credit)                             | US-PGPUB;<br>USPAT | OR   | ON   | 2007/10/09 14:00 |
| S42     | 14   | trade with settlement same (debit or credit) same (gains with losses)    | US-PGPUB;<br>USPAT | OR   | ON   | 2007/10/09 14:02 |
| S43     | . 0  | settle with account\$ same (debit or credit) same (gains with losses)    | US-PGPUB;<br>USPAT | OR   | ON   | 2007/10/09 14:03 |
| S44     | 10   | settle\$4 with account\$ same (debit or credit) same (gains with losses) | US-PGPUB;<br>USPAT | OR . | ON   | 2007/10/09 14:04 |
| S45     | 43   | account same settle\$4 same (gain\$ with loss\$2)                        | US-PGPUB;<br>USPAT | OR   | ON   | 2007/10/09 14:06 |
| S46     | 316  | trade with account with (reconcil\$3 or settle\$4 or balanc\$3)          | US-PGPUB;<br>USPAT | OR   | ON   | 2007/10/09 14:08 |
| L       |      | or serricat or nataricas)  | USFAT              |      |      |                  |

| S47  |     | · · · · · · · · · · · · · · · · · · · | T-14  |   |    |      |                  |
|--|-----|---------------------------------------|---|---|----|------|------------------|
| September   Sept     | S47 | 2                                     | or settle\$4 or balanc\$3) same gain\$                                    |   | OR | ON   | 2007/10/09 14:09 |
| S50  | S48 | 18                                    | or settle\$4 or balanc\$3) same debit                                     |   | OR | ON   | 2007/10/09 14:13 |
| S51   15   | S49 | 363                                   | trade near2 settlement  |   | OR | ON   | 2007/10/09 14:13 |
| reconcil\$5   near2 account\$   USPAT    | S50 | 14                                    |   | , | OR | ON   | 2007/10/09 14:14 |
| reconcil\$5 or balance) near2   uSPAT.   | S51 | 15                                    |   | , | OR | ΟŅ   | 2007/10/09 14:14 |
| reconcil\$6 or balanc\$3)  | S52 | 36                                    | reconcil\$5 or balance) near2   | 1 | OR | ON   | 2007/10/09 14:18 |
| S55   9081   account adj (settle\$4 or reconcil\$6 or balanc\$3)   USPAT   US-PGPUB; USPAT     | S53 | 4                                     |   |   | OR | ON   | 2007/10/09 14:21 |
| S56   383   account adj (settle\$4 or reconcil\$6 or balanc\$3) same trad\$3   USPAT   US-PGPUB; OR USPAT   USP | S54 | 333                                   |   |   | OR | ON   | 2007/10/09 14:21 |
| S57   34   account adj (settle\$4 or reconcil\$6 or balanc\$3) same trad\$3 same (compute or tally or tallies or add or calculate)   US-PGPUB; OR USPAT   USPAT  | S55 | 9081                                  |   | , | OR | ON   | 2007/10/09 14:22 |
| or balanc\$3) same trad\$3 same (compute or tally or tallies or add or calculate)  S58 227 account adj (settle\$4 or reconcil\$6 or balanc\$3) with trad\$3  S59 16 account adj (settle\$4 or reconcil\$6 or balanc\$3) with trad\$3 with process  S60 3825899 account adj (settle\$4 or reconcil\$6 or balanc\$3) with trad\$3 with process  S61 33 account adj (settle\$4 or reconcil\$6 or balanc\$3) with trad\$3 with process or method  S61 33 account adj (settle\$4 or reconcil\$6 or balanc\$3) with trad\$3 with process or method  S62 115 account adj (settle\$4 or reconcil\$6 or balanc\$3) with trad\$3 with (process or method)  S63 1 trade near2 process\$3 same account near2 reconcil\$6 near2  S64 80 account\$ near2 reconcil\$6 near2  US-PGPUB; OR ON 2007/10/09 14:45   | S56 | 383                                   |   |   | OR | ON   | 2007/10/09 14:37 |
| or balanc\$3) with trad\$3  S59  16  account adj (settle\$4 or reconcil\$6 or balanc\$3) with trad\$3 with process  S60  3825899  account adj (settle\$4 or reconcil\$6 or balanc\$3) with trad\$3 with process or method  S61  33  account adj (settle\$4 or reconcil\$6 or balanc\$3) with trad\$3 with process or method  S61  33  account adj (settle\$4 or reconcil\$6 or balanc\$3) with trad\$3 with (process or method)  S62  115  account adj (settle\$4 or reconcil\$6 or balanc\$3) with trad\$3 with (process or method)  S63  1 trade near2 process\$3 same (compute\$ or tally\$ or add or sum)  S64  80  account\$ near2 reconcil\$6 near2  US-PGPUB; OR ON 2007/10/09 14:45  US-PGPUB; OR ON 2007/10/09 14:45  |     | 34                                    | or balanc\$3) same trad\$3 same<br>(compute or tally or tallies or add or |   | OR | ON   | 2007/10/09 14:34 |
| or balanc\$3) with trad\$3 with process  S60 3825899 account adj (settle\$4 or reconcil\$6 or balanc\$3) with trad\$3 with process or method  S61 33 account adj (settle\$4 or reconcil\$6 or balanc\$3) with trad\$3 with process or method  S62 115 account adj (settle\$4 or reconcil\$6 or balanc\$3) with trad\$3 with (process or method)  S62 115 account adj (settle\$4 or reconcil\$6 or balanc\$3) same trad\$3 same (compute\$ or tally\$ or add or sum)  S63 1 trade near2 process\$3 same account near2 reconcil\$6 uS-PGPUB; US-PGPUB; USPAT  S64 80 account\$ near2 reconcil\$6 near2 US-PGPUB; OR ON 2007/10/09 14:45  | S58 | 227                                   | ,   | , | OR | ON   | 2007/10/09 14:35 |
| or balanc\$3) with trad\$3 with process or method  S61 33 account adj (settle\$4 or reconcil\$6 or balanc\$3) with trad\$3 with (process or method)  S62 115 account adj (settle\$4 or reconcil\$6 or balanc\$3) same trad\$3 same (compute\$ or tally\$ or add or sum)  S63 1 trade near2 process\$3 same account near2 reconcil\$6 uS-PGPUB; US-PGPUB; US-PGPUB; US-PGPUB; OR ON 2007/10/09 14:45  S64 80 account\$ near2 reconcil\$6 near2 US-PGPUB; OR ON 2007/10/09 14:46   | S59 | 16                                    | or balanc\$3) with trad\$3 with   |   | OR | ON   | 2007/10/09 14:35 |
| or balanc\$3) with trad\$3 with (process or method)  S62  115  account adj (settle\$4 or reconcil\$6 or balanc\$3) same trad\$3 same (compute\$ or tally\$ or add or sum)  S63  1 trade near2 process\$3 same account near2 reconcil\$6  US-PGPUB; OR ON 2007/10/09 14:45  US-PGPUB; OR ON 2007/10/09 14:45  US-PGPUB; OR ON 2007/10/09 14:45  US-PGPUB; OR ON 2007/10/09 14:46  | S60 | 3825899                               | or balanc\$3) with trad\$3 with   | , | OR | ON   | 2007/10/09 14:35 |
| or balanc\$3) same trad\$3 same (compute\$ or tally\$ or add or sum)  S63  1 trade near2 process\$3 same account near2 reconcil\$6  S64  80 account\$ near2 reconcil\$6 near2  USPAT  USPAT  ON  2007/10/09 14:45  USPAT  ON  2007/10/09 14:46   | S61 | 33                                    | or balanc\$3) with trad\$3 with   | , | OR | ON   | 2007/10/09 14:37 |
| account near2 reconcil\$6 USPAT USPAT S64 80 account\$ near2 reconcil\$6 near2 US-PGPUB; OR ON 2007/10/09 14:46  | S62 | 115                                   | or balanc\$3) same trad\$3 same   |   | OR | ON . | 2007/10/09 14:43 |
|  | S63 | 1                                     | i i   |   | OR | ON   | 2007/10/09 14:45 |
|  | S64 | 80                                    |   |   | OR | ON   | 2007/10/09 14:46 |

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|-----|-----|--|--|----|----|---------------------------------------|
| S65 | 1   | account\$ near2 reconcil\$6 near2 (method or process) same trade | US-PGPUB;<br>USPAT   | OR | ON | 2007/10/09 15:05                      |
| S66 | 1   | method adj system adj account adj<br>reconciliation adj wealth   | US-PGPUB;<br>USPAT   | OR | ON | 2007/10/09 15:11                      |
| S67 | 0   | ("2005/0187852").URPN.   | USPAT  | OR | ON | 2007/10/09 15:07                      |
| S68 | 166 | trade adj settlement   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2007/10/09 15:12                      |
| S69 | 15  | trade adj settlement adj process                                 | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2007/10/09 15:14                      |
| S70 | 91  | clearing adj trades  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2007/10/09 16:18                      |
| S71 | 53  | net adj settlement same (clearing<br>house or clearinghouse)     | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2007/10/09 16:30                      |
| S72 | 1   | net adj settlement same derivatives<br>near2 trad\$3             | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2007/10/09 16:31                      |
| S73 | 166 | net adj settlement   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | ON | 2007/10/09 16:31                      |

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| S74 | 328942 | net adj settlement same trade or trades   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR   | ON | 2007/10/09 16:31 |
| S75 | 24     | net adj settlement same (trade or trades)   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR   | ON | 2007/10/09 16:33 |
| S76 | 42     | (clearing adj house or clearinghouse) adj settlement  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR . | ON | 2007/10/09 16:35 |
| S77 | 233    | derivatives adj (trading or trades or trade)  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR   | ÓΝ | 2007/10/09 16:36 |
| S78 | 1      | derivatives adj (trading or trades or<br>trade) and net adj settlement                              | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR   | ON | 2007/10/09 16:36 |
| S79 | 85     | (trading or trades or trade) and net adj settlement   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR   | ON | 2007/10/09 16:37 |
| S80 | 3812   | (trading or trades or trade) and net<br>adj settlement and clearinghouse or<br>(clearing adj house) | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR   | ON | 2007/10/09 16:39 |

| S81  | 47     | (trading or trades or trade) and net<br>adj settlement and (clearinghouse<br>or clearing adj house) | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR   | ON | 2007/10/09 16:57 |
|------|--------|---|--|------|----|------------------|
| S82  | 2988   | settlement adj systems  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR   | ON | 2007/10/09 16:58 |
| S83  | 21     | net adj settlement adj systems  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR   | ON | 2007/10/09 16:59 |
| 584  | 4      | net adj settlement adj process  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR   | ON | 2007/10/09 18:08 |
| S85  | 17     | gross adj settlement  | US-PGPUB;<br>USPAT   | OR   | ON | 2007/10/09 17:30 |
| S86  | 2190   | account adj settlement  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR . | ON | 2007/10/09 18:08 |
| S87  | 525649 | account adj settlement same<br>clearing aqdj house  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR   | ON | 2007/10/09 18:08 |
| \$88 | 10     | account adj settlement same<br>clearing adj house   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR   | ON | 2007/10/09 18:09 |

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|-----|-----|--|--|-----------------|------|------------------|
| S89 | 18  | account adj settlement same trade                | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR <sub>,</sub> | ON   | 2007/10/09 18:14 |
| S90 | 23  | account adj settlement with clearing             | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR              | ON ' | 2007/10/09 18:17 |
| S91 | 892 | settlement with clearing                         | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR              | ON   | 2007/10/09 18:18 |
| S92 | 241 | settlement with clearing adj house               | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR              | ON   | 2007/10/09 18:18 |
| S93 | 24  | settlement near2 process with clearing adj house | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR              | ON   | 2007/10/09 18:18 |